

5 January 2015

Linguistic Society of America 2017 Annual Meeting, Austin TX

A THEORY-DRIVEN MODEL OF HANDSHAPE SIMILARITY

Jonathan Keane

Mattersight and University of Chicago



Introduction

Which two of these are similar?

videos/book-look-side.mp4

Are these more or less similar?

`videos/side-book-road.mp4`

This talk

- Metrics for handshape similarity
- The Articulatory Model of Handshape
- Extension to fingerspelling similarity
- Psycholinguistic Experiments
- Results

Metrics for handshape similarity

How do we compare these words?

In the early days of sign language research there were a number of studies that used similarity or confusability to derive handshape classes. Locke (1970); Weyer (1973); Lane et al. (1976); Stungis (1981); Richards and Hanson (1985).

“The present study, then, undertakes to see what sort of featural analysis for ASL results when, using certain specific statistical techniques, we proceed from psychological data to a linguistic model, rather than the reverse” Lane et al. (1976)

The Articulatory Model of Handshape (AMOHS) (Keane, 2014)

Phonological specification for -C-

| group | feature | value |
|-------|------------------------------|-----------------------------------|
| psf | members | index, middle, ring, pinky, thumb |
| | base (MCP) joint | ext |
| | nonbase (PIP and DIP) joints | mid |
| | abduction | adducted |
| ssf | members | none |
| | base (MCP) | NA |
| | nonbase (PIP and DIP) | NA |
| thumb | opposition | opposed |
| nsf | members | none |
| | joints | NA |
| wrist | orientation | FS-default |

Broadly compatible with phonological models Sandler (1989); Brentari (1998) among others; as well as phonetic models like Johnson and Liddell (2011a,b); Liddell and Johnson (2011a,b).

Phonetic target (joint angles) for -C-

| | flexion | | | abduction |
|--------|---------|----------|-------------------|-----------|
| | DIP | PIP | MCP | MCP |
| index | 135° | 135° | 180° | 0° |
| middle | 135° | 135° | 180° | 0° |
| ring | 135° | 135° | 180° | 0° |
| pinky | 135° | 135° | 180° | 0° |
| thumb | IP | MCP | CM | |
| | 135° | 180° | (-22°, -27°, 13°) | |
| wrist | flexion | rotation | pronation | |
| | -10° | 0° | 0° | |

Phonetic target (joint angles) for -A-

| | flexion | | | abduction |
|--------|---------|----------|-------------|-----------|
| | DIP | PIP | MCP | MCP |
| index | 90° | 90° | 90° | 0° |
| middle | 90° | 90° | 90° | 0° |
| ring | 90° | 90° | 90° | 0° |
| pinky | 90° | 90° | 90° | 0° |
| thumb | IP | MCP | CM | |
| | 180° | 135° | (23°,0°,8°) | |
| wrist | flexion | rotation | pronation | |
| | -10° | 0° | 0° | |

Difference in joint angles for -C- and -A- AKA $\delta(-C- ; -A-)$

| | flexion | | | abduction |
|--------|---------|----------|--------------|-----------|
| | DIP | PIP | MCP | MCP |
| index | 45° | 45° | 90° | 0° |
| middle | 45° | 45° | 90° | 0° |
| ring | 45° | 45° | 90° | 0° |
| pinky | 45° | 45° | 90° | 0° |
| | IP | MCP | CM | |
| thumb | 45° | 45° | (45°,27°,5°) | |
| | flexion | rotation | pronation | |
| wrist | 0° | 0° | 0° | |

Fingerspelling

Comparing C-A-T and L-O-T – *Contour Difference Method*

-C-

-A-

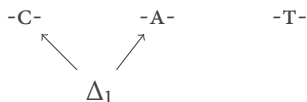
-T-

-L-

-O-

-T-

Comparing C-A-T and L-O-T – *Contour Difference Method*



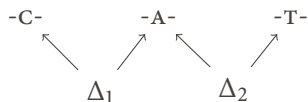
-L- -O- -T-

(-C-; -A-)

Δ_1

2031

Comparing C-A-T and L-O-T – *Contour Difference Method*



-L-

-O-

-T-

(-C-; -A-)

(-A-; -T-)

 Δ_1 Δ_2

2031

360

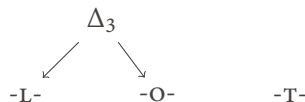
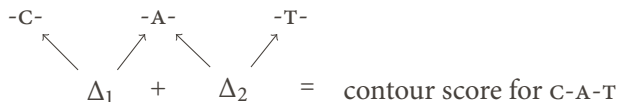
Comparing C-A-T and L-O-T – *Contour Difference Method*

$$\begin{array}{c}
 \text{-C-} \quad \quad \text{-A-} \quad \quad \text{-T-} \\
 \swarrow \quad \nearrow \quad \swarrow \quad \nearrow \\
 \Delta_1 \quad + \quad \Delta_2 \quad = \quad \text{contour score for C-A-T}
 \end{array}$$

-L- -O- -T-

$$\begin{array}{cc}
 (-\text{C-}; -\text{A-}) & (-\text{A-}; -\text{T-}) \\
 \Delta_1 & \Delta_2 \\
 2031 & 360
 \end{array}$$

Comparing C-A-T and L-O-T – *Contour Difference Method*



(-C-; -A-)

Δ_1

2031

(-A-; -T-)

Δ_2

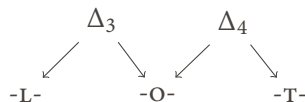
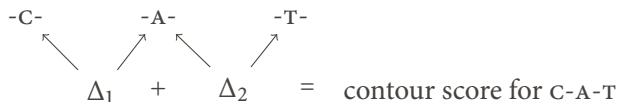
360

(-L-; -O-)

Δ_3

1521

Comparing C-A-T and L-O-T – *Contour Difference Method*



(-C-; -A-)

Δ_1

2031

(-A-; -T-)

Δ_2

360

(-L-; -O-)

Δ_3

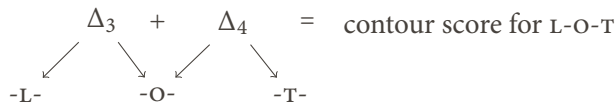
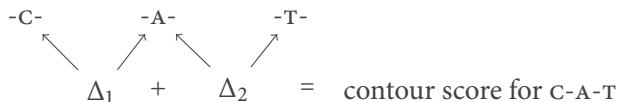
1521

(-O-; -T-)

Δ_4

1356

Comparing C-A-T and L-O-T – *Contour Difference Method*


 $(-C-; -A-)$
 Δ_1

2031

 $(-A-; -T-)$
 Δ_2

360

 $(-L-; -O-)$
 Δ_3

1521

 $(-O-; -T-)$
 Δ_4

1356

Comparing C-A-T and L-O-T – *Contour Difference Method*

$$\begin{array}{c}
 \begin{array}{ccccc}
 & -C- & & -A- & \\
 & \swarrow & & \swarrow & \\
 & \Delta_1 & + & \Delta_2 & \\
 & \swarrow & & \swarrow & \\
 & -A- & & -T- &
 \end{array}
 \end{array} = \text{contour score for C-A-T}$$

$$\begin{array}{c}
 \begin{array}{ccccc}
 & \Delta_3 & + & \Delta_4 & \\
 & \swarrow & & \swarrow & \\
 & -L- & & -O- & \\
 & \swarrow & & \swarrow & \\
 & -L- & & -O- &
 \end{array}
 \end{array} = \text{contour score for L-O-T}$$

$$| \text{c.s. C-A-T} - \text{c.s. L-O-T} | = \text{contour diff. score}$$

| $(-C-; -A-)$ | $(-A-; -T-)$ | $(-L-; -O-)$ | $(-O-; -T-)$ |
|--------------|--------------|--------------|--------------|
| Δ_1 | Δ_2 | Δ_3 | Δ_4 |
| 2031 | 360 | 1521 | 1356 |

Comparing C-A-T and L-O-T – *Contour Difference Method*

$$\begin{array}{c}
 \begin{array}{ccccc}
 & -C- & & -A- & \\
 & \swarrow & & \swarrow & \\
 & \Delta_1 & + & \Delta_2 & \\
 & \swarrow & & \swarrow & \\
 & -A- & & -T- &
 \end{array}
 \end{array}
 = \text{contour score for C-A-T}$$

$$\begin{array}{c}
 \begin{array}{ccccc}
 & \Delta_3 & + & \Delta_4 & \\
 & \swarrow & & \swarrow & \\
 & -L- & & -O- & \\
 & \swarrow & & \swarrow & \\
 & -L- & & -T- &
 \end{array}
 \end{array}
 = \text{contour score for L-O-T}$$

$$| \text{c.s. C-A-T} - \text{c.s. L-O-T} | = \text{contour diff. score}$$

For this pair, the contour difference score is:

$$\begin{aligned}
 & | ((-C-; -A-) + (-A-; -T-)) - ((-L-; -O-) + (-O-; -T-)) | = \\
 & | (\Delta_1 + \Delta_2) - (\Delta_3 + \Delta_4) | = \\
 & | (2031 + 360) - (1521 + 1356) | = 486
 \end{aligned}$$

Comparing C-A-T and L-O-T – *Positional Similarity Method*

-C-

-A-

-T-

-L-

-O-

-T-

Comparing C-A-T and L-O-T – *Positional Similarity Method*

| | | |
|------------|-----|-----|
| -C- | -A- | -T- |
| ↑ | | |
| Δ_5 | | |
| ↓ | | |
| -L- | -O- | -T- |

(-C-; -L-)
 Δ_5
1656

Comparing C-A-T and L-O-T – *Positional Similarity Method*

-C-

 Δ_5 

-L-

-A-

 Δ_6 

-O-

-T-

-T-

(-C-; -L-)

 Δ_5

1656

(-A-; -O-)

 Δ_6

1356

Comparing C-A-T and L-O-T – *Positional Similarity Method*

-C-

 Δ_5 

-L-

-A-

 Δ_6 

-O-

-T-

 Δ_7 

-T-

(-C-; -L-)

 Δ_5

1656

(-A-; -O-)

 Δ_6

1356

(-T-; -T-)

 Δ_7

0

Comparing C-A-T and L-O-T – *Positional Similarity Method*

$$\begin{array}{c}
 \text{-C-} \\
 \uparrow \\
 \Delta_5 \\
 \downarrow \\
 \text{-L-}
 \end{array}
 +
 \begin{array}{c}
 \text{-A-} \\
 \uparrow \\
 \Delta_6 \\
 \downarrow \\
 \text{-O-}
 \end{array}
 +
 \begin{array}{c}
 \text{-T-} \\
 \uparrow \\
 \Delta_7 \\
 \downarrow \\
 \text{-T-}
 \end{array}
 = \text{positional similarity score}$$

| | | |
|----------------------------|----------------------------|----------------------------|
| $(\text{-C-}; \text{-L-})$ | $(\text{-A-}; \text{-O-})$ | $(\text{-T-}; \text{-T-})$ |
| Δ_5 | Δ_6 | Δ_7 |
| 1656 | 1356 | 0 |

Comparing C-A-T and L-O-T – *Positional Similarity Method*

$$\begin{array}{ccccc}
 \begin{array}{c} \text{-C-} \\ \uparrow \\ \Delta_5 \\ \downarrow \\ \text{-L-} \end{array} & + & \begin{array}{c} \text{-A-} \\ \uparrow \\ \Delta_6 \\ \downarrow \\ \text{-O-} \end{array} & + & \begin{array}{c} \text{-T-} \\ \uparrow \\ \Delta_7 \\ \downarrow \\ \text{-T-} \end{array} & = & \text{positional similarity score}
 \end{array}$$

For this pair, the positional similarity score is:

$$\begin{array}{rcccccc}
 (-\text{C-}; -\text{L-}) & + & (-\text{A-}; -\text{O-}) & + & (-\text{T-}; -\text{T-}) & = \\
 \Delta_5 & + & \Delta_6 & + & \Delta_7 & = \\
 1656 & + & 1356 & + & 0 & = 3012
 \end{array}$$

Psycholinguistic experiments

Methods

2 separate rating experiments:

- 24 Deaf ASL signers

 - (11 in study 1, 13 in study 2)

- rated pairs of words

 - (214 pairs in study 1, 132 in study 2)

- presented in two different ways

 - (video of a native signer fingerspelling, written text)

We used the AMOHS to make *contour difference* and *positional similarity* scores for each pair.

The first study was designed as a norming experiment for a separate study before either of our metrics were developed.

Models

We fit a number of hierarchical (AKA mixed effects) linear regression models.

For all models the **outcome** was the signers' similarity rating.

Null model

predictors

- none

varying intercepts

- experiment
- subject
- first word of the pair
- second word of the pair

Models

We fit a number of hierarchical (AKA mixed effects) linear regression models.

For all models the **outcome** was the signers' similarity rating.

Contour difference score model

predictors

- the contour difference score for the word pair
- the length of the words (3 letters, 4 letters, or mismatched)
- the two way interaction of these

varying intercepts and slopes

- experiment
- subject
- first word of the pair
- second word of the pair

Models

We fit a number of hierarchical (AKA mixed effects) linear regression models.

For all models the **outcome** was the signers' similarity rating.

Positional similarity score model

predictors

- the positional similarity score for the word pair
- the length of the words (3 letters, 4 letters, or mismatched)
- the two way interaction of these

varying intercepts and slopes

- experiment
- subject
- first word of the pair
- second word of the pair

Model comparison

| model | AIC | BIC | R^2 |
|-----------------------|----------|----------|-------|
| null | 12194.00 | 12231.54 | 0.00 |
| contour difference | 11868.27 | 11987.15 | 0.02 |
| positional similarity | 11438.10 | 11556.97 | 0.16 |

Burnham and Anderson (2004); Anderson and Burnham (2006); Nakagawa and Schielzeth (2013); Johnson (2014)

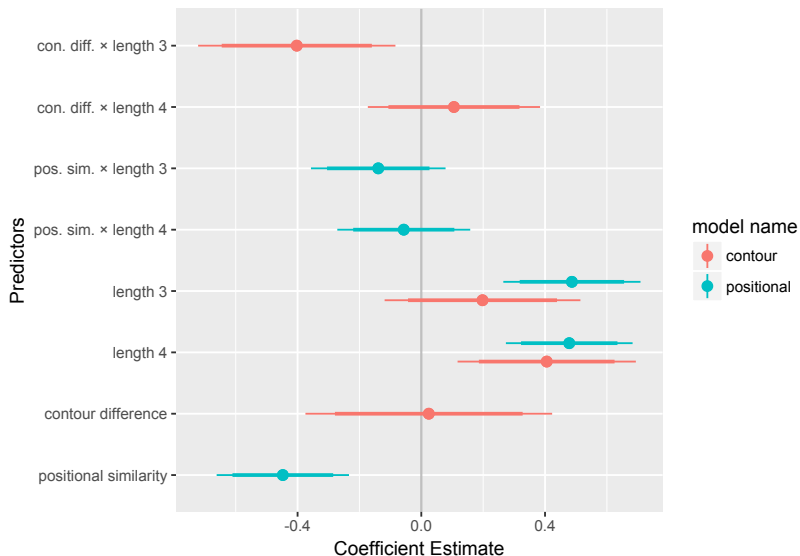
Model comparison

| model | AIC | BIC | R^2 |
|-----------------------|----------|----------|-------|
| null | 12194.00 | 12231.54 | 0.00 |
| contour difference | 11868.27 | 11987.15 | 0.02 |
| positional similarity | 11438.10 | 11556.97 | 0.16 |

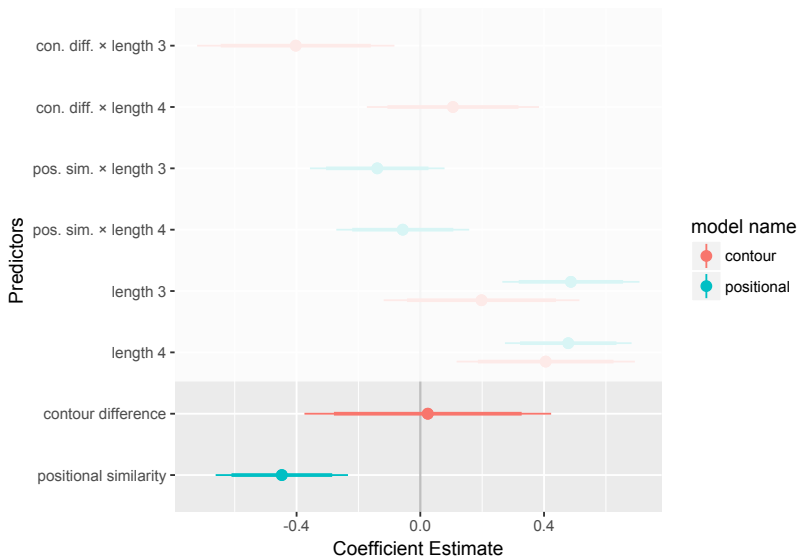
Burnham and Anderson (2004); Anderson and Burnham (2006); Nakagawa and Schielzeth (2013); Johnson (2014)

Results

Coefficient plot



Coefficient plot



Significant results

| predictor | model | |
|---------------|--------------------|-----------------------|
| | contour difference | positional similarity |
| contour diff. | X | NA |

Significant results

| predictor | model | |
|-----------------|--------------------|-----------------------|
| | contour difference | positional similarity |
| contour diff. | ✗ | NA |
| positional sim. | NA | ✓ |

Significant results

| predictor | model | |
|-----------------|---|-----------------------|
| | contour difference | positional similarity |
| contour diff. | X | NA |
| positional sim. | NA | ✓ |
| length | X ⁽³⁾ /✓ ⁽⁴⁾ | ✓ |

Significant results

| predictor | model | |
|-----------------------|-----------------------------------|-----------------------|
| | contour difference | positional similarity |
| contour diff. | \times | NA |
| positional sim. | NA | \checkmark |
| length | $\times^{(3)} / \checkmark^{(4)}$ | \checkmark |
| score \times length | $\checkmark^{(3)} / \times^{(4)}$ | \times |

Conclusions

The Articulatory Model of Handshape provides a way to gradiently measure the similarity between handshapes.

The positional similarity score method is the only method for comparing two fingerspelled words that is supported by signers' similarity ratings of fingerspelled words.

Thank you

This work would not be possible without the contributions of the Deaf signers who participated in our experiments.

This project greatly benefited from my collaborators Zed Sevcikova, Karen Emmorey, and Diane Brentari as well as from the feedback of our colleagues Leah Geer, Jordan Fenlon, and Jason Riggle.

This work was also supported in part by a Doctoral Dissertation Research Improvement Grant: NSF BCS 1251807.

References I

- Anderson, D. and Burnham, K. (2006). AIC myths and misunderstandings.
http://warnercnr.colostate.edu/~anderson/PDF_files/AIC%20Myths%20and%20Misunderstandings.pdf.
- Brentari, D. (1998). *A prosodic model of sign language phonology*. The MIT Press.
- Burnham, K. P. and Anderson, D. R. (2004). Multimodel inference understanding AIC and BIC in model selection. *Sociological methods & research*, 33(2):261–304.
- Johnson, P. C. (2014). Extension of nakagawa & schielzeth's r2glmm to random slopes models. *Methods in Ecology and Evolution*, 5(9):944–946.
- Johnson, R. E. and Liddell, S. K. (2011a). Toward a phonetic representation of hand configuration: The thumb. *Sign Language Studies*, 12(2):316–333.
- Johnson, R. E. and Liddell, S. K. (2011b). Toward a phonetic representation of signs: Sequentiality and contrast. *Sign Language Studies*, 11(2):241–274.
- Keane, J. (2014). *Towards an articulatory model of handshape: What fingerspelling tells us about the phonetics and phonology of handshape in American Sign Language*. PhD thesis, University of Chicago. Doctoral dissertation, defended 22 August 2014
Advisors: Diane Brentari, Jason Riggle, and Karen Livescu.

References II

- Lane, H., Boyes-Braem, P., and Bellugi, U. (1976). Preliminaries to a distinctive feature analysis of handshapes in american sign language. *Cognitive Psychology*, 8(2):263–289.
- Liddell, S. K. and Johnson, R. E. (2011a). A segmental framework for representing signs phonetically. *Sign Language Studies*, 11(3):408–463.
- Liddell, S. K. and Johnson, R. E. (2011b). Toward a phonetic representation of hand configuration: The fingers. *Sign Language Studies*, 12(1):5–45.
- Locke, J. L. (1970). Short-term memory encoding strategies of the deaf. *Psychonomic Science*, 18(4):233–234.
- Nakagawa, S. and Schielzeth, H. (2013). A general and simple method for obtaining R^2 from generalized linear mixed-effects models. *Methods in Ecology and Evolution*, 4(2):133–142.
- Richards, J. T. and Hanson, V. L. (1985). Visual and production similarity of the handshapes of the american manual alphabet. *Perception & psychophysics*, 38(4):311–319.
- Sandler, W. (1989). *Phonological Representation of the Sign: Linearity and Nonlinearity in American Sign Language*. Foris Pubs USA.

References III

- Stungis, J. (1981). Identification and discrimination of handshape in American Sign Language. *Perception & Psychophysics*, 29(3):261–276.
- Weyer, S. A. (1973). Fingerspelling by computer. Technical Report 212, Institute for Mathematical Studies in the Social Sciences, Sanford University, Stanford, CA.

Additional plots

Ratings, with predictions (positional similarity model)

